

Securityholder Notification

21 January 2020

LEI: 635400MFOIY6BX1JUC92

ISIN: XS2193970899

LSE Code: 3SNF

GRANITESHARES FINANCIAL PLC (the “Issuer”)

GRANITESHARES 3X SHORT NETFLIX DAILY ETP (the “ETP Securities”)

NOTICE OF STOP LOSS

THIS DOCUMENT IS IMPORTANT AND REQUIRES YOUR IMMEDIATE ATTENTION. If you are in any doubt about what action you should take, you are recommended to consult your independent financial adviser.

NOTICE is hereby given on behalf of the Issuer to the holders of the ETP Securities of a stop loss event reported at 18:57:45 UK time on 20 January 2021 by the Index Sponsor in regard to the Index referenced by the ETP Securities.

At the end of the observation period, which occurred at 19:08:00 UK time on 20 January 2020, the Index Sponsor published an Index Level of 18.86667.

Additional details about the way stop loss events are handled by the Index Sponsor are available under the section “Adjustments” of the index guidelines available on the following link <https://www.solactive.com/wp-content/uploads/2020/06/20200622-Index-methodology-single-stocks.pdf>.

Capitalised terms not defined herein shall have the meaning given to them in the Issue Deed relating to the ETP Securities.

This Notice is given on behalf of the Issuer.

GRANITESHARES FINANCIAL PLC



Romira Hoxha
Director