

Form of Securityholder Notification

10 November 2020
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GRANITESHARES FINANCIAL PLC (the "Issuer")
GRANITESHARES 3X SHORT ROLLS-ROYCE DAILY ETP SECURITIES (the "ETP Securities")
VALUE PER ETP SECURITY THRESHOLD LEVEL NOTICE

THIS DOCUMENT IS IMPORTANT AND REQUIRES YOUR IMMEDIATE ATTENTION. If you are in any doubt about what action you should take, you are recommended to consult your independent financial adviser.

NOTICE is hereby given by the Issuer to the holders of the ETP Securities pursuant to Condition 20 (*Notices*), in accordance with Condition 8(d)(vii) (*Value per ETP Security Threshold Redemption Event*).

The Value per ETP Security in respect to the ETP Security has been on two consecutive Non-Disrupted Scheduled Valuation Days less than 200 per cent. of the Minimum Redemption Amount, such amount being equal to GBP 0.013004. That resulted in a Value per ETP Security Threshold Redemption Event which will occur on the Relevant Business Day immediately following the date of this notice.

The Early Redemption Amount will be determined on the Early Redemption Date.

Date of occurrence of the Value per ETP Security Threshold Redemption Event	11 November 2020
Early Valuation Date	11 November 2020

Capitalised terms not defined herein shall have the meaning given to them in the Terms and Conditions relating to the ETP Securities.

This Notice is given by the Issuer:

GRANITESHARES FINANCIAL PLC

By: 
Name: Romira Hoxha

Title: Director

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